

Politically Exposed Persons and Head of International Organization

THIS FORM IS APPLICABLE FOR UNIVERSAL LIFE AND NON-REGISTERED INVESTMENT PRODUCTS

OWNER 1

Name (Please print)

Policy/Account No. (if available)

OWNER 2

Name (Please print)

1 a) Is a premium and/or lump sum payment equal to or greater than \$100,000 being made or to be made? yes no
If the answer is **“yes”**, each Owner must complete 1 b) and section 2; if “no”, complete section 5 only.

b) Where did you obtain the funds to be used to purchase this policy or to be deposited into this account?

2 Does the Owner, or Payor, their family members* or close associates* hold, or have any of them held any of the positions listed below in Section 3:

* (A **“family member”** means spouse or common-law partner, child, mother or father, mother or father of their spouse or common-law partner and child of their mother or father (sibling). A **“close associate”** means an individual who is closely connected for personal or business reasons).

Yes, each Owner to the best of their knowledge must complete sections 3, 4 and 5.

No, go to section 5 and sign form

3 Please check as applicable:

Politically Exposed Foreign Person in a country other than Canada (living or deceased, current or ever held)

- head of state or head of government
- member of the executive council of government or member of the legislature
- deputy minister (or equivalent rank)
- ambassador or ambassador’s attaché or counsellor
- military general (or higher rank)
- president of a state-owned company or bank
- head of a government agency
- judge of a supreme court, constitutional court or other court of last resort
- leader or president of a political party represented in a legislature

Politically Exposed Domestic Person in Canada (living or deceased, current or held in the last 5 years)

- Governor General, lieutenant governor or head of government
- member of the Senate or House of Commons or member of a legislature
- deputy minister (or equivalent rank)
- president of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province
- ambassador or ambassador’s attaché or counsellor
- military general (or higher rank)
- judge of an appellate court in a province, the federal Court or the Supreme Court of Canada
- leader or president of a political party represented in a legislature
- mayor

Head of International Organization (HIO) (currently held)

- Head of an international organization established by the governments of states
- Head of an institution established by an international organization

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4 If you answered “**yes**,” to section 2 complete the following information for the Politically Exposed Person (domestic/foreign) or HIO:

Name of person: _____

Relationship to the Owner/Payor: _____ Title of the position: _____

Date position held/holding: From (DD/MM/YYYY) _____ To (DD/MM/YYYY) _____

Organization or institution: _____ Country: _____

For additional information on the terms used, visit www.fintrac.gc.ca.

5 By signing below, you (the Owner(s)) confirm that the information you have provided is current, correct and complete. You agree to notify *ivari* if any of the information changes in the future.

Sign here

Signature of Owner 1

Date signed (DD/MM/YYYY)

Sign here

Signature of Owner 2

Date signed (DD/MM/YYYY)



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